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INFO	DMATION	ו חופ	CLOSURE	Application No.	10/618,140		
			PPLICANT	Filing Date	July 10, 2003		
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				Art Unit	3731		
				Examiner Name	Charles H. Sam		
Sheet	1	of	1	Attorney Docket Number	23488-07931		

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		Document No.						
Examiner Initials*	Cite No.1	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document				
AN		US-5,318,589	06-07-1994	Lichtman				
		US-5,571,161	11-05-1996	Starksen				
		US-5,713,950	02-03-1998	Cox				
		US-5,722,977	03-03-1998	Wilhelmy				
		US-5,755,765	05-26-1998	Hyde et al.				
		US-5,972,020	10-26-1999	Carpentier et al.				
		US-6,010,531	01-04-2000	Donlon et al.				
		US-6,165,183	12-26-2000	Kuehn et al.				
		US-6,267,763	07-31-2001	Castro				
		US-6,287,250	09-11-2001	Peng et al.				
		US-2002/0052602	05-02-2002	Wang et al.				
		US-6,697,677	02-24-2004	Dahl et al.				
4		US-6,889,091	05-03-2005	Hine et al.				

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Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>6</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Т°
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	, T₫
•		PCT International Search Report and Written Opinion, PCT/US04/00760, September 27, 2006, 7 pages.	

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WiPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

	Substitute for t	form 1449/	A/PTO	Complete if Known			
INEC		ı nıç	CLOSURE	Application No.	10/618,140		
			PPLICANT	Filing Date	July 10, 2003		
SIA	IEMENI	DI A	PLICANT	First Named Inventor	Albert K. Chin		
	·			Art Unit	3731		
				Examiner Name	Charles H. Sam		
Sheet	1	of	1	Attorney Docket Number	23488-07931		

			U.S. PAT	ENT DOCUMENTS
		Document No.		
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	A2.	US-6,048,337	04-11-2000	Svedman
	A3	US-5,630,813	05-20-1997	Kieturakis
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Examiner Initials*	Cite No.1	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
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OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS							
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		Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where					

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	Substitute for	r form 1449A/P	TO		Complete if Known	
INE	ORMATIO	N DISCI	OSLIDE	Application No.	10/618,140	
CST A	TEAMENIT	BA VDE	LOSURE PLICANȚ	Filing Date	July 10, 2003	
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Speet	45/	of	1	Attorney Docket Number	23488-07931	
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		Document No.					
Examiner Initials*	Cite No. <sup>1</sup>	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
ATZ		US-5,318,589	06-07-1994	Lichtman			
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		US-5,713,950	02-03-1998	Сох			
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		US-6,010,531	01-04-2000	Donlon et al.			
		US-6,165,183	12-26-2000	Kuehn et al.			
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		US-6,287,250	09-11-2001	Peng et al.			
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1		US-6,889,091	05-03-2005	Hine et al.			

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		Foreign Patent Document			
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Τ°
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		PCT International Search Report and Written Opinion, PCT/US04/00760, September 27, 2006, 7 pages.	

Examiner Signature	My	Date Considered	11-25-07

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Complete if Known		
Application No.	10/618,140	
Filing Date	July 10, 2003	
First Named Inventor	Albert K. Chin	
Art Unit	3731	
Examiner Name	Charles H. Sam	
Attorney Docket Number	23488-07931	
	FIIIng Date First Named Inventor Art Unit Examiner Name	

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		Document No.	•			
Examiner Initials*	Cite No. <sup>1</sup>	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
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	A2	US-5,980,548	11-09-1999	Evans et al.		
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	STATEMENT BY APPLICANT	First Named Inventor	Albert K. Chin
	·	Art Unit	3731

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		Document No.		
	Cite No.1	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
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ATL	A2	US-2002/0111637 A1	08-15-2002	Kaplan et al.
ATZ	A3	US-2002/0058925 A1	05-16-2002	Kaplan et al.

**Examiner Name** 

Attorney Docket Number

Charles H. Sam

80121-07931

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form Complete if Known Application No. 10/618,140 **INFORMATION DISCLOSURE** Filing Date July 10, 2003 STATEMENT BY APPLICANT **First Named Inventor** Albert K. Chin **Art Unit** .3731 **Examiner Name** Charles H. Sam Sheet **Attorney Docket Number** of 23488-07931

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1	A2	US 4,235,246	11-25-1980	Weiss, L.
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de 1	A4	US 6,132,456	10-17-2000	Sommer et al.

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Examiner Initials*	Cite No.1	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Τ <sup>6</sup> .
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
	C1	PCT International Search Report and Written Opinion; PCT/US04/34538, November 3, 2005, 10 pages.	
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Signature		Considered $11-35-67$

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Substitute for form 1449A/PTO Application No. 10/618,140 **INFORMATION DISCLOSURE** Filing Date July 10, 2003 STATEMENT BY APPLICANT First Named Inventor Albert K. Chin Art Unit 3731 **Examiner Name** Not Yet Known **Attorney Docket Number** Sheet 23488-07931 of

			U.S. PATENT	OCUMENTS
		Document No.		
Examiner Initials*	Cite No.	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
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		Foreign Patent Document					
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T⁰		

		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS	-
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Substitute for form 1449A/PTO		
NEERMATION DISCLOSURE	Application No.	10/618,140
STATEMENT BY APPLICANT	Filing Date	July 10, 2003
100 11	First Named Inventor	Albert K. Chin
\$ \$	Art Unit	3731
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Sheet 1 of 1	Attorney Docket Number	23488-07931

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MZ	A2	US-2002/0177207 A1	11-28-2002	Sugiyama et al.		
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	C1	International Search Report and Written Opinion, PCT/US04/00760, July 6, 2005.	
	C2	Myers, E. L. et al., "Tsg101, an Inactive Homologue of Ubiquitin Ligase E2, Interacts Specifically With Human Immunodeficiency Virus Type 2 Gag Polyprotein and Results in Increased Levels of Ubiquinated Gag," J. Virol, November 2002, Vol. 76, No. 22.	

Examiner Signature	hy	Date Considered	11-25-07
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				Filing Date	July 10, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Albert K. Chin	
		•		Art Unit	3731	•
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Sheet	1	of	1	Attorney Docket Number	23488-07931	

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		Document No.		
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AR		US2002/0035361 A1	03-2002	Houser et al.
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·		Foreign Patent Document			
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	TO
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	-	International Search Report and Written Opinion, PCT/US04/00859, June 20, 2005.			

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A THAUS	St	ibstitute fo	er form 144	9A/PTO			
INFORMATION DISCLOSURE					Application No.	10/618,140	
					Filing Date	July 10, 2003	
STATEMENT BY APPLICANT				PPLICANI	First Named Inventor	Albert K. Chin	
	-				Art Unit	3731	<u></u>
				·	Examiner Name	Not Yet Known	
Sheet		1	of	1	Attorney Docket Number	23488-07931	

	U.S. PATENT DOCUMENTS							
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T⁰				
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Examiner Signature	By	Date Considered	11-25-07
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English tanguage Translation is attached.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

: Substitute for form 1449A/PTO	Complete if Known		
INFORMATION DISCLED SURE	Application No.	10/618,140	
STATEMENT/BY APPLICANT	Filing Date	July 10, 2003	
	First Named Inventor	Albert K. Chin	
MAY 0-6. 2005- E	Art Unit	3731	
THE LEE	Examiner Name	Not Yet Known	
Sheet 1 06 71. 11. 18	Attorney Docket Number	23488-07931	

U.S. PATENT DOCUMENTS						
		Document No.				
Examiner Initials*	Cite No. <sup>1</sup>	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
AR	A1	US-6,706,052	03-16-2004	Chin		
	A2	US-8,607,547	08-19-2003	Chin		
•	A3	US-6,569,082	05-27-2003	Chin		
	A4	US 6,488,689	12-03-2002	Kaplan et al.		
	A5	US-6,478,028	11-12-2002	Paolitto et al.		
	A6	US-6,428,556	08-06-2002	Chin		
	A7	US-6,322,536 B1	11/27/2001	Rosengart et al.		
	A8	US-6,206,004	03-27-2001	Schmidt et al.		
	A9	US-6,156,009	12-05-2000	Grabek .		
	A10	US-6,102,046	08/15/2000	Weinstein et al.		
	A11	US-6,095,968	08-01-2000	Snyders .		
	A12	US-6,085,754	07-11-2000	Alferness et al.		
	A13	US-6,080,174	08-27-2000	Dubrul et al.		
	A14	US-8,077,218	06-02-2000	Allemess		
	A15	US-6,039,748	03-21-2000	Savage et al.		
	A16	U\$-8,038,714	03-14-2000	Chin		
	A17	US-6,030,406	02-29-2000	Davis et al		
	A18	US-5,972,013	10-26-1999	Schmidt		
	A19	US-5,972,010	10-26-1999	Taheri		
	A20	US-5,957,880	09-28-1999	Igo et al.		
	A21	US-5,957,835	09-28-1999	Anderson et al.		
	A22	US-5,897,586	04-27-1999	Molina		
	A23	US-5,860,997	01-19-1999	. Bonutti		
	A24	US-5,810,878	09/22/1998	Burel et al.		
4	A25	US-5,800,449	09/01/1998	Wales		

Examiner Signature	My	Date Considered	11-25-07

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Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

	Substitute for	form 1449/	VPTO	Complete if Known		
INEO	DM ATIO	N DIC	CLOSURE	Application No.	10/618,140	
		_	PLICANT	Filing Date	July 10, 2003	
SIAI	EIAIEIAI	DI A	PLICANI	First Named Inventor	Albert K. Chin	
				Art Unit	3731	
				Examiner Name	Not Yet Known	
Sheet	2	of	8	Attorney Docket Number	23488-07931	7

U.S. PATENT DOCUMENTS						
		Document No.				
Examiner Initials*	Cite No.1	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
An	A28	US-5,797,946	08-25-1998	Chin		
	A27	US-5,772,680	06-30-1998	Kieturakis et al.		
	A28	US-5,762,604	06.09.1998	Kieturakis		
	A29	US-5,738,628	04-14-1998	Sierocuk et al.		
	A30	US-5,730,756	03-24-1998	Kieturakis		
	A31	US-5,728,148	03-17-1998	Bostrom et al.		
	A32	US-5,725,492	03-10-1998	Igo et al.		
	A33	US-5,716,392	02-10-1998	Bourgeois et al.		
	A34	US-5,707,390	01-13-1998	Bonutti		
	A35	US-5,702,417	12-30-1997	Hermann		
	A36	US-5,702,343	12-30-1997	Alferness		
	A37	US-5,695,504	12-09-1997	Gifford, III et al.		
·	A38	US-5,690,648	11-25-1997	Fogarty et al.		
	A39	US-5,685,820	11-11-1997	Risk et at.		
	A40	US-5,681,278	10-28-1997	Igo et al.		
	A41	US-5,667,520	09-16-1997	Bonutti		
	A42	US-5,653,726	08-05-1997	Kieturakis		
	A43	US-5,653,722	07-1997	Kieturakis		
	A44	US-5,650,447	07-22-1997	Keefer et al.		
	A45	US-5,618,287	04-08-1997	Fogarty et al.		
	A46	US-5,613,947	03-25-1997	Chin		
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	A48	US-5,607,441	03-04-1997	Sierocuk et al.		
	A49	US-5,601,589	02-11-1997	Fogarty et al.		
4	A50	US-5,601,576	02/11/1997	Garrison		

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	Substitute for	form 1449.	A/PTO		Complete if Known	
· INFO	DRAATIO	N DIS	CLOSURE	Application No.	10/618,140	
_				Filing Date	July 10, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Albert K. Chin	
				Art Unit	3731	
				Examiner Name	Not Yet Known	
Sheet	3	of	8	Attorney Docket Number	23488-07931	

	U.S. PATENT DOCUMENTS						
		Document No.					
Examiner Initials*	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
AR	A51	US-5,591,192	01-07-1997	Privitera et al.			
	A52	US-5,569,292	10-29-1996	Scwemberger et al.			
	A53	US-5,569,291	10-29-1996	Privitera et al.			
	A54	US-5,551,947	09-03-1996	Kaali			
	A55	US-5,540,711	07-30-1996	Kieturakis et al.			
	A56	US-5,514,153	05-07-1996	Bonutti			
	A57	US-5,498,345	03-05-1996	Kieturakis et al.			
	A58	US-5,482,925	01-09-1996	Hutsell			
	A59	US-5,464,447	11-07-1995	Fogarty et al.			
	A60	US-5,385,156	01-31-1995	Oliva			
	A61	US-5,376,076	12-27-1994	Kaali			
	A62	US-5,373,840	12-20-1994	Knighton			
	A63	US-5,339,801	08-23-1994	Poloyko et al.			
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	A65	US-5,331,975	07-26-1994	Bonutti			
	A66	US-5,271,380	12-21-1993	Riek et al.			
	A67	U\$-5,258,132	10-26-1993	Snyders			
	A68	US-5,215,521	06-01-1993	Cochran et al.			
	A69	US-5,183,464	02-02-1993	Dubrul et al.			
	A70	US-5,163,949	11-1992	Bonutti			
	A71	US-5,150,708	09-29-1992	Cox et al.			
	A72	US-5,143,082	09-01-1992	Kindberg et al.			
,	A73	US-5,131,905	07-21-1992	Grooters			
	A74	US-4,991,578	02-12-1991	Cohen			
*	A75	US-4,921,483	05-01-1990	Wijay et al.			

Examiner Signature	Date Consi	sidered (1-25-0)
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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

	Substitute for	form 1449.	A/PTO	Complete if Known		
INEO		J DIS	CLOSURE	Application No.	10/618,140	
				Filing Date	July 10, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Albert K. Chin	
				Art Unit	3731	•
				Examiner Name	Not Yet Known	
Sheet	4	of	8	Attorney Docket Number	23488-07931	

	U.S. PATENT DOCUMENTS						
	Ĭ	Document No.					
Examiner Initials*	Cite No. <sup>1</sup>	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
HR	A76	US-4,863,440	09-05-1989	Chin			
	A77	US-4,784,133	11-15-1988	Mackin			
	A78	US-4,779,611	10-25-1988	- Grooters et al.			
	A79	US-4,765,341	08-23-1988	Mower et al.			
	A80	US-4,662,371	05-05-1987	Whipple et al.			
	A81	US-4,630,609	12-23-1986	Chin			
	A82	US-4,526,175	07-02-1985	Chin et al.			
	A83	US-4,493,711	01-15-1985				
	A84	US-4,479,497	10-30-1984	Fogarty et al.			
	A85	US-4,319,562	03-16-1982	Crosby			
	A86	US-4,318,410	03-09-1982	Chin			
	A87	US-4,291,707	09-29-1981	Heilman et al.			
	A88	US-4,271,839	06-09-1981	Fogarty et al.			
	A89	US-4,270,549	06-02-1981	Heilman			
	A90	US-4,181,123	01-01-1980	Crosby			
	A91	US-RE29,088	12-28-1976	Shaw			
	A92	US-3,934,115	01-20-1976	Peterson			
	A93	US-3,882,854	05-13-1975	Hulka et at.			
	A94	US-3,870,048	03/11/1975	Youn .			
	A95	US-3,856,016	12-24-1974	Davis			
	A98	US-3,357,433	12-12-1967	Fourestier et al.			
	A97	US-3,336,916	08-22-1967	Edlich			
	A98	US-3,185,155	05-25-1965	Slaten et al.			
	A99	US-2,944,552	07-12-1960	Cannon			
4	A100	US-2,868,206	01-13-1959	Stoesser			

Examiner Signature	laly	Date Considered	11-25-07
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	Substitute for	form 1449	A/PTO	Complete if Known			
INEO		N DIS	CLOSURE	Application No.	10/618,140		
				Filing Date	July 10, 2003		
SIAI	CIMICIAI	DI A	PPLICANT	First Named Inventor	Albert K. Chin		
				Art Unit	3731		
				Examiner Name	Not Yet Known		
Sheet	5	of	8	Attorney Docket Number	23488-07931		

-	U.S. PATENT DOCUMENTS							
-		Document No.						
Examiner Initials*	Cite No. <sup>1</sup>	Number Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document				
MZ	A101	US-2,316,297	04-13-1943	Southerland et al.				
	A102	US-2,028,635	01-21-1936	Wappler				
	A103	US-2,011,169	08-13-1935	Wappler				
	A104	US-1,867,624	07-19-1932	Hoffman				
	A105	US-1,727,495	12-08-1926	Wappler ·				
	A106	US-702,789	06-17-1902	Gibson				
4	A107	US-207,932	09-10-1878	Alvord				

	FOREIGN PATENT DOCUMENTS						
		Foreign Patent Document					
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T		
ATZ	B1	DE 39 42 589	22.12.1989	Olympus Optical Co.			
1	82	EP 0791330 A2	08/27/1997	Cardiothoracic Systems, Inc.			
	B3	EP 0095727A1	12-07-1983	Purdue Research Foundation			
	B4	EP 0 642 764	13.09.1994	United States Surgical Corporation			
	B5	FR 1 370580	1962	Fourestier et al.			
	B6	GB 2 082 459	13.08.1981	Atrium Medical			
1	87	GB 2 195 540	18.09.1987	Anthony James Milne	<del></del>		
	88	SU 510235	15.04.1976				
1	B9	SU 1371689	20.03.1986				
	B10	WO 99/13936	03-25-1999	Comedicus Incorporated			
+	B11	WO 99/13785	03-25-1999	Comedicus Incorporated			
	B12	WO 98/24488 A3	06-11-1998	Comedicus Incorporated			
•	B13	WO 98/24488 A2	08-11-1998	Cornedicus Incorporated			

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Examiner Signature	Soly	Date Considered	11-25-07
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

'Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

	Substitute for	form 1449	APTO		Complete if Known	
INEO		N DIG	CLOSURE	Application No.	10/618,140	
				Filing Date	July 10, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Albert K. Chin	
				Art Unit	3731	
				Examiner Name	Not Yet Known	
Sheet	6	of	8	Attorney Docket Number	23488-07931	

FOREIGN PATENT DOCUMENTS						
		Foreign Patent Document				
Examiner tnitials*	Cite No.1	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	. Т	
ATZ	B14	WO 98/24378	06-11-1998	Comedicus Incorporated		
	B15	WO 96/32882	10/24/1996	Heartport, Inc.		
	B16	WO 96/00038	01-04-1996	Cormedics Corp.		

		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published					
AR	C1	BARTOCCIONI, S., et al., Laparoscopic Harvesting of Right Gastroepiploic Artery for Coronary Artery Bypass Graft Performed Without Stemotomy (online), [retrieved on 10-5-1999] Retrieved from the internet <url:http: 2628<="" doc="" td="" www.ctsnet.org=""></url:http:>					
1	C2	BENETTI, Federico, et al., "Video Assisted Coronary Bypass Surgery", J Card Surgery, 1995, pp. 620-625					
	СЗ	BERNHARD, Victor M. et al., "Cardiovascular Endoscopy: Historical Perspectives", Endovascular Surgery, 1989 W.B. Saunders Company, pp. 13-30					
	C4	BROADMAN, R. et al., "ICD Implantation via Thoracoscopy, "Mailstot" Thoracotomy, and Subxiphoid Incision," <i>The Annals of Thoracic Surgery</i> , Vol. 57, No. 2, February 1994, pp. 475-476.					
	C5	CARPENTIER, A., "Technique d'implantation de pace-maker par une voie d'abord abdominale sous-xyphoidienne," La Presse Medicale, Masson et Cie, Editeurs, Paris, Vol. 76, No. 2, January 13, 1968, 2 pp.	·				
	C6	Comedicus Gets Approval to Sell Product in European Union, March 1, 1999, Swenson NHB Investor Relations, 4 pages.					
	C7	DE FEYTER, P.J. et al., "Permanent Cardiac Pacing with Sutureless Myocardial Electrodes: Experience in First One Hundred Patients," PACE, Vol 3, No. 2, March 1980, pp. 144-149.					
	C8	DELARIA, G.A. et al., "Leg Wound Complications Associated With Coronary Revascularization", J.Thorac, Cardiovasc. Surgery, 81:403-407, 1981.					
	<b>C9</b>	DIMITRI, W.R., et al., "A Quick and Atraumatic Method of Autologous Vein Harvesting Using the Subcutaneous Extraluminal Dissector", J. Cardiovasc. Surg., 28:103-11, 1987					
	C10	FOGARTY, M.D., Thomas J., et al., "Selected Applications of Balloon Dissection", pp. 45-52					
1	C11	FONTENELLE, Larry, J., "Subxiphoid Pericardial Window", Thoracic and Cardiovascular Surgery, The American Association for Thoracic Surgery, July 1971, Vol. 62, No. 1, pp. 95-97					

Examiner Signature	Date Considered 11-95-07	
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	Substitute for form	n 1449A/PTO			Complete if Known	
INEC	RMATION	DISCI (	CHE	Application No.	10/618,140	
				Filing Date	July 10, 2003	
SIA	STATEMENT BY APPLICANT			First Named Inventor	Albert K. Chin	
				Art Unit	3731	
·				Examiner Name	Not Yet Known	· · · · · · · · · · · · · · · · · · ·
Sheet	7	of	8	Attorney Docket Number	23488-07931	

		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>©</sup>			
110	C12	GRANDJEAN, Jan G., et al., *Coronary Reoperation via Small Laparotomy Using Right Gastroepiploic Artery Without CPB*,				
MIC		Society of Thoracic Surgeons, 1996, pp.				
	C13	HAUER, G., et al. 'Endoscopic Subfascial Discussion of Perforating Vein', Surg. Endos. 2:5-12, 1988				
	C14	KAMINSKI, Diane, 'Firm Aims to Bypass Heart-piercing Treatments', Medical Industry Today, Médical Data International, September 23, 1998				
	C15	"Incision Decision", Atrium Medical Corporation advertisement, appearing in J. Thorac. Cardiovasc. Surg., 83(4), 1982				
	C16	KIRKLIN, John W., et al., "Cardiac Surgery: Morphology, Diagnostic Criteria, Natural History, Techniques, Results, and Indications", Vol. 2, Second Edition, 1993, Chapter 52, p. 1695				
	C17	LEVIN, Bradley H., "The Subxiphoid Pericardial Window", Surgery, Gynecology & Obstetrics, December 1982, Vol. 155, pp. 804-806				
	C18	MELDRUM-HANNA, W. et al., *Long Saphenous Vein Harvesting,* J. Surg., 56: 923-924, 1986.				
	C19	MOAZAMI, N., M.D. et al., "Minimally Invasive Greater Saphenous Vein Harvesting For Coronary Artery Bypass Surgery", March 1997, pp. 94-98				
	C20	PRAGER, Richard L., et al., "The Subxiphoid Approach to Pericardial Disease", The Annals of Thoracic Surgery, Vol. 34, No. 1, July 1982				
	C21	RASHID, A., et al., "Subcutaneous Technique for Saphenous Vein Harvest", Ann. Thorac. Surg., 37(2):169-170, 1984				
	C22	SABISTON, David C., Jr., et al., "Atlas of Cardiothoracic Surgery", W.B. Saunders Company, 1995, pp. 235-237				
	C23	SANTOS, Gil H., et al., "The Subxiphoid Approach in the Treatment of Pericardial Effusion", Albert Einstein College of Medicine, September 21, 1976, pp.467-470				
	C24	"Saphenous Vein Grafts Are No. 1. Period," Atrium Medical Corporation advertisement, appearing in J. Thorac. Cardiovas.  Surg., 82(6), 1981				
	C25	SIMONSEN, Michael, Ph.D., *Researchers Undaunted by Setbacks in the Angiogenesis Sector*, American Health Consultants, Vol. 5, No. 5, May 1999				
	C26	SPODICK, David H., "Directly Applied Cardiac Therapy: Experts Explore Potential Benefits", Internal Medicine World Report, 1998				
	C27	SPODICK, David H., "IPTD: Intrapericardial Therapeutics and Diagnostics: The PerDUCER Permits Direct Access to the Heart", Cath-Lab Digest, September 1999, Volume 7, Number 9				
	C28	STEWART, S., M.D., "Placement of the Sutureless Epicardial Pacemaker Lead by the Subxiphoid Approach," The Annals of Thoracic Surgery, Vol. 18, No. 3, September 1974, pp. 308-313.				

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	Substitute for	form 1449/	VPTO		Complete if Known	
INIEC	DRAKTIO	u nie	CLOSURE	Application No.	10/618,140	
				Filing Date	July 10, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Albert K. Chin	
				Art Unit	3731	
				Examiner Name	Not Yet Known	
Sheet	8	of	8	Attorney Docket Number	23488-07931	

<del></del>		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T⁰
ATL	C29	The 4th International Symposium on Intrapericardial Therapeutics and Diagnostics, March 6, 1999, New Orleans, Louisiana	
AT	C30	WATKINS, Jr., L., M.D. et al., *Implantation of the Automatic Defibrillator: The Subxiphoid Approach,* The Annals of Thoracic Surgery, Vol. 34, No. 5, November 1982, pp. 515-520	
An	C31	WHEATLEY, D.J., M.D., ed., "Surgery of Coronary Artery Disease", C.V. Mosby Company, pp. 348-349, pp. 374-375	
AR	C32	ZENATI M., M.D. et al., *Left Heart Pacing Lead Implantation Using Subxiphoid Videopericardioscopy,* J. Cardiovasc Electrophysiol, Vol. 14, September 2003, pp. 949-963.	
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<sup>&#</sup>x27;Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WiPO Standard ST. 18 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Application No. 10/618,140 **INFORMATION DISCLOSURE** Filing Date July 10, 2003 STATEMENT BY APPLICANT First Named Inventor Albert K. Chin **Art Unit** 3731 **Examiner Name** Not Yet Known **Attorney Docket Number** Sheet of 23488-07931

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		Document No.		
Examiner Initials*	Cite No.	Number - Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	/ Name of Patentee or Applicant of Cited Document
An		US-5,569,183	10-29-1996	Kieturakis, Maciej J.
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Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-Issue number(s), publisher, city and/or country where	T°
<del></del>		published	$\vdash$

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IAIE	FORMATIO	N DISCI	OSUBE	Application No.	10/618,140	
	ATEMENT			Filing Date	July 10, 2003	
31	ATEMENT	DIAPP	LICANI	First Named Inventor	Albert K. Chin	
				Art Unit	3731	
				Examiner Name	Not Yet Known	
Sheet	1	of	1	Attorney Docket Number	23488-07931	

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		Document No.	·	
Examiner Initials*	Cite No.	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
ATZ	A1	US-5,391,156	02-21-1995	Hildwein et al.
"	A2	US-6,010,531	01-04-2000	Donlon et al.
	A3	US-6,126,590	10-03-2000	Alferness, Clifton A.
	A4	US-6,463,332B1	10-08-2002	Aldrich, William N.
	A5	US-6,612,978B2	09-02-2003	Lau et al.
	A6	US-6,689,048B2	02-10-2004	Vanden Hoek et al.
1	A7	US-6,702,732B1	03-09-2004	Lau et al.
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Examiner Initials*	Cite No.1	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	To
M	B1	WO97/26831	07-31-1997	Chin, Albert K.	

		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
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Complet if Known Substitute for form 1449A/PTO Applicati n No. 10/618,140 INFORMATION DISCLOSURE Filing Dat July 10, 2003 STATEMENT BY APPLICANT First Nam d Inv nt r Albert K. Chin **Art Unit** 7371 Not Yet Known **Examiner Name Attorney Docket Number** 23488-07931 Sheet

			U.S. PATE	NT DOCUMENTS	
		Document No.			
Examiner Initials*	Cite No.1	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY-		Name of Patentee or Applicant of Cited Document
ATR		5,904,711	05-18-1999	Flom et al.	

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Examiner ,Initials*	Cite No.1	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	7
		OTHER REFE	RENCES – NON-PAT	ENT LITERATURE DOCUMENTS	
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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Examiner Initials*	Cite No.1	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
MR	A1	US-5,902,331	05/11/1999	Bonner et al.
ATZ	A2	US-5,336,252	08/09/1994	Cohen

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	<u></u>	OTHER REFERENC	ES - NON-PATENT	LITERATURE DOCUMENTS	<u></u>
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Complete if Known Substitute for form 1449A/PTO 10/618,140 Application N . INFORMATION DISCLOSURE July 10, 2003 Filing Date STATEMENT BY APPLICANT Albert K. Chin First Named Invent r Not Yet Known Art Unit Not Yet Known **Examiner Name** 23488-07931 Attorney Docket Number of Sheet

			U.S. PATE	NT DOCUMENTS
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Examiner Initials*	Cite No.1	Number - Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document
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Examiner Initials*	Cite No.1	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
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